

PART B - FEE(S) TRANSMITTAL

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07/24/2006

ROBERT M GRAY
 CONLEY ROSE PC
 P O BOX 3267
 HOUSTON, TX 77253-3267

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Neva M. Dare	(Depositor's name)
<i>Neva M. Dare</i>	(Signature)
Sept. 26, 2006	(Date)

APPLICATION NO	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO	CONFIRMATION NO
09/643.073	08/21/2000	Irfan Amanat	T30411US	8199

TITLE OF INVENTION: APPARATUS AND METHOD FOR LOAD BALANCING AMONG DATA COMMUNICATIONS PORTS IN AUTOMATED SECURITIES TRADING SYSTEMS

APPLN TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$0	\$0	\$700	10/24/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
PATEL, JAGDISH	3624	705-036000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363)
☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached
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2 For printing on the patent front page, list
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
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 1 CONLEY ROSE, P.C.
 2 _____
 3 _____

3 ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11 Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

ETP Holdings, Inc.

New York, New York

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a The following fee(s) are submitted:

- ☒ Issue Fee
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5 Change in Entity Status (from status indicated above)

- ☐ a Applicant claims SMALL ENTITY status See 37 CFR 1.27. b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2)

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Authorized Signature *Daniel J. Krueger*

Date 9/25/06

Typed or printed name Daniel J. Krueger

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